



ALEX PADILLA | SECRETARY OF STATE | STATE OF CALIFORNIA
1500 11th Street, 6th floor | Sacramento, CA 95814 | Tel 916.653.7244 | Fax 916.653.4620 | www.sos.ca.gov

July 13, 2018

The Honorable Holly Mitchell, Chair
The Joint Legislative Budget Committee
1020 N Street, Room 553
Sacramento, CA 95814

The Honorable Anthony Portantino, Chair
Senate Appropriations Committee
State Capitol, Room 2206
Sacramento, CA 95814

The Honorable Lorena Gonzalez Fletcher, Chair
Assembly Appropriations Committee
State Capitol, Room 2114
Sacramento, California 95814

Dear Chairpersons Mitchell, Portantino, and Gonzalez Fletcher:

Attached is my office's quarterly report on the progress of the CAL-ACCESS Replacement System Project, in accordance with the Government Code section 84602(c). Previous reports are posted on the Secretary of State's office website at www.sos.ca.gov/administration/agency-reports/cal-access-replacement-project-cars-agency-reports. If you have any questions about this report, please feel free to contact me at (916) 653-7244, or have your staff contact my Chief of Legislative Affairs, Tiffany Mok, at (916) 695-1686.

Sincerely,

Alex Padilla
Secretary of State

Attachment

Introduction

In September of 2016, the Governor signed into law Senate Bill (SB) 1349, the Political Reform Act of 1974: Secretary of State: online filing and disclosure system. The bill requires the Secretary of State, in consultation with the Fair Political Practices Commission (FPPC), to develop and certify for public use an online filing and disclosure system for campaign statements and reports that provides public disclosure of campaign finance and lobbying information in a user-friendly, easily understandable format.

The law calls for the Secretary of State to submit a report to the chairs of the Joint Legislative Budget Committee and the fiscal committees of the Legislature on or before December 31, 2017, and on or before every April 15, July 15, October 15, and January 15 thereafter, that certifies whether changes are anticipated to the project's scope, schedule, or budget and any problem that is considered a risk to the project's completion according to the approved project schedule and budget.

Background

The California Automated Lobbyist and Campaign Contribution and Expenditure Search System (CAL-ACCESS) was developed to provide greater public access to campaign contribution and expenditure data, and state lobbying financial activity. CAL-ACCESS was developed to respond to the objectives of the Online Disclosure Act, added to the Political Reform Act of 1974 (PRA) by Ch 866, Stats of 1997. CAL-ACCESS is the public's window into California's campaign disclosure and lobbying financial activity, providing financial information supplied by state candidates, donors, lobbyists, lobbyist employers, and others.

CAL-ACCESS was deployed in June 2000, and, since that time, nearly two (2) million filings have been submitted by filers. However, CAL-ACCESS is an old and fragile system that is at the end of life and operating on an unsupported information technology platform. The current system design has technological restrictions that prevent it from being modified or updated. It lacks data display and reporting features that are commonly used for data analytics.

CAL-ACCESS users and stakeholder groups have identified the following business problems:

- Program business operations are negatively affected by the current system design.
- Program business operations are at risk due to an old, unsupported information technology platform.
- The Secretary of State's Political Reform Division (PRD) and stakeholders have limited information access and reporting capabilities.

SB 1349 requires the Secretary of State to implement a CAL-ACCESS Replacement System (CARS) to address the shortcomings and vulnerabilities of the current system.

CARS Status – July 2018

Below is a summary of the Secretary of State's efforts on the CAL-ACCESS Replacement System project to date.

Procurement

As noted in the April 2018 report, the CARS Project issued a Request for Offer (RFO) using the Department of General Services (DGS) Information Technology Consulting Services Master Service Agreement (IT MSA) on October 19, 2017. Based on questions received from the vendors, the project issued Addendum #1 on December 18, 2017 and Addendum #2 on January 25, 2018.

The Secretary of State has completed evaluating the offers received. Contract award has been made to Enterprise Services LLC, also operating as DXC Technologies, a Perspecta Company. The contractor is now onboard.

Legislative Updates

The Secretary of State, in consultation with the FPPC, continued to support Senate Bill (SB) 1239 (Hertzberg) to promote the legislative changes required for the new system implementation. SB 1239 has passed in the Senate and on the Assembly side has passed in the Assembly Committee of Elections and Redistricting. The bill has now been referred to the Assembly Appropriations Committee.

Planning

The CARS System Integrator (SI) vendor is onboard and has begun developing Phase I; Planning and Initialization phase Deliverables and Deliverable Expectation Documents. These include the Project Management Plan, an Integrated Project Schedule, Data Integration approach and a Quality Management Plan, amongst others.

The Project Team completed developing the CARS enterprise architecture and the to-be business rules for the new CAL-ACCESS system. The CARS project continued identifying any issues and the need for cleaning up the current legacy CAL-ACCESS data in preparation for migration to the new system. The data migration team began planning for extract, transfer and load of the legacy CAL-ACCESS data into the new system.

Utilizing the California Multiple Award Schedule (CMAS) for Information Technology (IT) consulting services, the project released a Request for Offer and acquired services of an Organization Change Management contractor and a Functional and User Acceptance Testing contractor.

The Organizational Change Management contractor began internal and external stakeholder analysis in preparation for developing an Organizational Change Management Plan to transition the stakeholders to the new system. The Functional and User Acceptance Testing contractor began planning and developing the overall vision for testing the new CAL-ACCESS system.

The CARS Project team completed updating its ancillary plans for bringing the SI team onboard. The team continued collaboration with Independent Validation and Verification consultants, and subject matter experts, including staff from the FPPC.

Currently Perceived Challenges

At this time, the Secretary of State CARS project team does not anticipate any changes to the scope of the project. In accordance with the Government Code section 84602(c), the SOS has made a determination in the best interest of the CAL-ACCESS Replacement System (CARS) Project, to extend the implementation date of the CAL-ACCESS Replacement System Project from February 1, 2019, to December 31, 2019. In accordance with the statute, SOS submitted a report to the Senate Elections & Constitutional Amendments Committee and the Assembly Elections & Redistricting Committee informing them of this extension.

Implementation Plan

A detailed plan for the development and implementation of the new CAL-ACCESS system is anticipated to be finalized in the next few weeks, once the SI vendor has presented its complete Integrated Project Schedule deliverable. Provided below is a high level schedule, which includes anticipated dates, which may fluctuate slightly once the Integrated Project Schedule is fully developed and accepted.

Project Stages	Milestone	Dates	Status
Alternatives Analysis	Complete Alternatives Analysis	11/2016	Complete
Solution Development	Refine Business Requirements and initiate project management and procurement plans (data governance; communications; issue/risk management; project; and contract management.)	12/2016 –07/2017	Complete
	Release Request for Solicitation	08/2017	Complete
Project Readiness and Approval	Evaluate final Vendor responses	2/2018-4/2018	Complete
	Award Contract	5/2018	Complete
Initialization and Planning	Develop project plans and Integrated Project Schedule	6/2018 – 9/2018	In progress
Design Development	Requirements Validation	8/2018 – 11/2018	

& Implementation	Design and Development	10/2018 – 7/2019	
	Training and Testing	6/2019 – 11/2019	
	Implementation	11/2019-12/2019	
Maintenance and Operations	First Year Operation and Closeout	1/2020-12/2020	