The following form should be completed on-line or in electronic format. Completed forms should be returned to the United States Election Assistance Commission via email to Survey_Response@eac.gov on or before March 31, 2005.

Please complete all of the fields below. Specific instructions relative to certain fields are found at the end of this form. If your question or concern is not answered in the instructions section of this form, please contact Brian Hancock at 202-566-3100.

**RESPONDENT INFORMATION:**

<table>
<thead>
<tr>
<th>Name of the responding State:</th>
<th>Date response is submitted:</th>
</tr>
</thead>
<tbody>
<tr>
<td>CALIFORNIA</td>
<td>5-6-05</td>
</tr>
</tbody>
</table>

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<thead>
<tr>
<th>Name of responding official:</th>
<th>Title of responding official:</th>
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<tbody>
<tr>
<td>BRAD CLARK</td>
<td>CHIEF, ELECTIONS DIVISION</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Address of responding official:</th>
<th>Email Address:</th>
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</thead>
<tbody>
<tr>
<td>Secretary of State's Office</td>
<td><a href="mailto:elections@ss.ca.gov">elections@ss.ca.gov</a></td>
</tr>
<tr>
<td>Elections Division</td>
<td></td>
</tr>
<tr>
<td>1500 11th Street, 5th floor</td>
<td></td>
</tr>
<tr>
<td>Sacramento, CA 95814</td>
<td></td>
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</tbody>
</table>

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<tr>
<th>Phone Number:</th>
<th>Fax Number:</th>
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<tbody>
<tr>
<td>916-657-2143</td>
<td>916-653-3214</td>
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</table>

**TOTAL VOTER REGISTRATION:**

Please respond to the following questions in the space provided below.

1. Total number of registered voters (active) at the time of the close of registration for the 2002 Federal general election
   14,779,925

2. Total number of registered voters (inactive) at the time of the close of registration for the 2002 Federal general election
   5,461,644
3. Total number of registered voters (active) at the time of the close of polls for the 2004 Federal general election (November 2, 2004)

16,464,726

4. Total number of registered voters (inactive) at the time of the close of polls for the 2004 Federal general election (November 2, 2004)

5,621,748

5. Total number of registered voters who were determined to be eligible to vote in the November 2, 2004 election through the provisional voting process.

769,113

6. Were there any voter registration applications received prior to the deadline for registering to vote in the November 2, 2004 election that were not processed in time for the election?

☐ Yes  ☐ No

VOTER REGISTRATION OFFICIALS:
Please respond to the following questions in the space provided below.

7. Identify the local election official primarily responsible for registering voters.

County Elections Officials

8. Identify each and every other state or local government office or agency that conducts voter registration including (a) motor vehicle offices, (b) all offices that provide public assistance that are mandated as registration sites by NVRA, (c) all offices that provide state-funded programs primarily serving persons with disabilities, (d) all Armed Forces recruitment offices, and (e) all other agencies designated by the State.

a, b, c, d, and e (State agencies: Dept. of Finance, Employment Development Dept., Dept. of Aging, Dept. of Rehabilitation, Franchise Tax Board, Dept. of Health Services, Dept. of Housing, Board of Equalization, Dept. of Social Services)
9. Identify whether voter registration forms are received by local registration officials or state registration officials.

- Local
- State
- Both

10. Identify whether voter registration forms are processed by local registration officials or state registration officials.

- Local
- State
- Both

11. Total number of election jurisdictions conducting voter registration

58 California counties

12. Total number of jurisdictions identified in question 11 that provided information for this survey

51 California counties

13. Total number of voter registration applications received from all sources during the period from the close of registration for the November 2002 federal election until the close of registration for the November 2004 Federal election

6,309,775

14. Total number of mail voter registration applications (including state, federal, and military or overseas forms that are accepted) received during the period from the close of registration for the November 2002 Federal election until the close of registration for the November 2004 Federal election

3,692,548

15. Total number of voter registration applications received in person in the clerk or voter registrars' office during the period from the close of registration for the November 2002 Federal election until the close of registration for the November 2004 Federal election

1,465,905
16. Total number voter registration applications received from each voter registration agency, including (1) motor vehicle offices, (2) all public assistance agencies that are mandated as registration sites by NVRA, (3) all offices that provide state-funded programs primarily serving persons with disabilities, (4) all Armed Forces recruitment offices, and (5) all other agencies designated by the State (those identified in response to question 8), during the period from the close of registration for the November 2002 Federal election until the close of registration for the November 2004 Federal general election.

Motor Vehicle Offices 474,950
Offices that provide Public Assistance 56,034
Offices that provide State-funded programs primarily serving persons with disabilities 15,367
Armed Forces recruitment offices 7,473
Other agencies designated by the State 298,221

17. Total number of voter registration applications identified in response to questions 14-17 that were changes of address, name or party
1,701,680

18. Total number of voter registration applications identified in response to questions 14-17 that were duplicates of other valid voter registrations
257,966

19. Total number of voter registration applications identified in response to question 13 that were invalid or rejected (other than duplicates)
231,284

20. How are voter registration applications transferred from other voter registration agencies (see question 16) to the official responsible for voter registration? (i.e. email, VPN, tape, disk, mail, fax)

Mail, in person, Secretary of State files, DMV
21. What, if any, training is provided to employees of voter registration agencies on the voter registration process?
   Some counties conduct classes, provide written instructions, answer questions, provide a video and brochure, and some counties provide no training at all.

22. Identify any restrictions on the acceptance of voter registration applications such as paper weight, fax, electronic, mail (for non-NVRA states), batch, third-party delivery, etc.
   Counties would like the ability to accept applications via FAX and email/electronic versions.

23. Total number of new valid registrations added to the voter registration lists between the 2002 and 2004 Federal general elections
   3,358,092

**PROCESSING VOTER REGISTRATION APPLICATIONS:**
*Please respond to the following questions in the space provided below.*

24. How are voter registration applications maintained (e.g., paper or computerized)?
   - Paper
   - Computerized
   - Other

   If Other is selected, please specify the method of maintaining records. paper, computerized, microfiche, scanned

25. How does the registration official check for duplicate registrations?
   Duplicate report, computer software/system, State's CalVoter system, DMV, visual check of voter registration card.

26. Does your State check for duplicate voter registrations across state lines?
   - Yes
   - No
27. What type of number is used as a voter registration number (e.g., social security number, unique identifier)? If a unique identifier is used, please identify what method is used for assigning the identified (e.g., random, combination of county number and other number)

- Social Security Number (4 digits)
- Social Security Number (9 digits)
- Other
- Driver's License Number
- Unique Identifier

Social Security number (four digits); driver's license number; unique identifier; VRC #: county assigns number; computer generated number; combination state and county number; alpha and numeric number.

28. Are applicants who are rejected notified of the rejection and reason for rejection?

- Yes
- No

LIST MAINTENANCE:
Please respond to the following questions in the space provided below.

29. How many removal notices (Section 8(d)(2) confirmation notices) were sent between the close of registration for the November 2002 election and November 2, 2004?

1,717,732

30. How many responses were received to the confirmation notices identified in response to question 29?

882,798

31. How many voters were moved to the inactive list?

1,745,609

32. How many voters were ultimately removed from the voter rolls between the close of registration for the November 2002 election and November 2, 2004?

1,533,301
33. How many voters were removed from the voter rolls between the close of registration for the November 2002 election and November 2, 2004 because of the death of the voter?

238,593

34. How many voters were removed from the voter rolls between the close of registration for the November 2002 election and November 2, 2004 due to failure to vote in two consecutive federal general elections?

436,757

35. How many voters were removed from the voter rolls between the close of registration for the November 2002 election and November 2, 2004 because the voter requested to be removed?

137,443

36. How many voters were moved from the voter rolls between the close of registration for the November 2002 election and November 2, 2004 because of disqualifying felony convictions?

11,595

37. How many voters were removed from the voter rolls between the close of registration for the November 2002 election and November 2, 2004 for reasons other than those set forth in questions 33 – 36? Please specify the reason for the removal.

1,233,194

38. What sources of data are considered in performing list maintenance (e.g., returned election notices, returned jury summons, Postal Service NCOA information, house-to-house canvasses, etc.)?

death notices; jury notices; list maintenance; PO NCOA; house to house canvass; CalVoter duplicate check; mentally incompetent; prison; fraud; 8d2 notices; moved out of state; non-citizen; not eligible.

39. What process is used to perform list maintenance? (e.g., is the process electronic or manual)

electronic and manual
40. Who is responsible for list maintenance (e.g., state or locality)?

County elections offices

41. How does your State treat voters who (a) have been convicted of a felony, (b) are serving a sentence of incarceration for conviction of a felony, and (c) are serving a term of probation following being convicted of a felony?

(a) Convicted of a felony
removed from voter rolls

(b) Serving a sentence of incarceration for conviction of a felony
removed from voter rolls

(c) Serving a term of probation following being convicted of a felony
can re-register to vote

NVRA AND HAVA PROVISIONS:
Please respond to the following questions in the space provided below.

42. Is your State subject to the National Voter Registration Act?

☐ Yes ☐ No

43. Has your State revised its state voter or mail voter registration form to include the citizenship and age questions required by HAVA?

☐ Yes ☐ No

44. How does your State treat applications where one or more of the HAVA mandated questions (citizenship and age) are not answered?

rebuttable presumption (allowed to register); send letter asking for missing information; put in pending file; call applicant; return voter registration card; send calling card for applicant to call registrar's office.
45. Total number of voter registration applications that were accepted and processed without the applicant completing the question "Are you a citizen of the United States of America?"

California affidavits are signed under penalty of perjury that the voter meets all eligibility requirement. Some counties process all of them; other counties do not.

46. Total number of voter registration applications that were accepted and processed without the applicant completing the question "Will you be 18 years of age on or before election day?"

Forms are processed if the birth date listed confirms that the voter will be 18 by the election day.

47. Has your State implemented the verification requirements of HAVA for new mail registrations?

○ Yes ○ No

48. Has your State implemented the verification requirements of HAVA for all new registrations?

○ Yes ○ No

49. How does your State verify voter registration applications (e.g., tracking the return of disposition notices, checks against drivers license and social security administration records, etc.)?

California driver's license; CalVoter system; CalValidator; check jury lists; call and send letters to applicant; check birth date.

50. Has your State implemented a statewide voter registration database?

○ Yes ○ No

If the answer is no, when will your State implement a statewide voter registration database and what progress has been made toward achieving that implementation?

California's plan is to be HAVA compliant by January 1, 2006.
Does the database or any solicitation for such a database include linking disability and social service agencies to the database in a similar manner as the state department of motor vehicles?

○ Yes  ○ No

VOTER REGISTRATION DRIVES:
Please respond to the following questions in the blanks provided below.

51. Does your State allow outside groups to conduct voter registration drives and submit the voter registration applications to the local registration official for processing?

○ Yes  ○ No

52. How does your State manage the number of applications given to the outside group and the number and timing of the return of those forms by the outside registration group?

Pursuant to California election code law.

53. Does your State use serial numbers on voter registration applications given to outside groups and organizations in order to be able to identify which group or organization handled completed applications that are received by State and local officials?

○ Yes  ○ No

54. Does your State permit agents of outside groups to be paid compensation for registering voters?

○ Yes  ○ No

If so, may the agent be paid on the basis of the number of voter registrations submitted?

○ Yes  ○ No
If payment of a voter registration is prohibited, what is the penalty for violating the prohibition?

n/a

55. Are voter registration records public information?

○ Yes  ○ No

56. Are voter registrations available for sale to the public?

○ Yes  ○ No

Instructions:

Please answer every question. Do not leave any questions blank. The appropriate answer may be “0”, “none”, or “N/A”. This survey seeks information only from the State level.